

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	9106031
<b>Application Number:</b>	10783655
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7256
<b>Title of Invention:</b>	Securities and transaction thereof
<b>First Named Inventor/Applicant Name:</b>	Jeffrey Yass
<b>Correspondence Address:</b>	Gregory J. Lavorgna Drinker Biddle & Reath LLP One Logan Square 18th & Cherry Streets Philadelphia PA 19103-6996 US 2159883309 -
<b>Filer:</b>	Henry Nicholas Blanco White/Katrina D'Oliveira
<b>Filer Authorized By:</b>	Henry Nicholas Blanco White
<b>Attorney Docket Number:</b>	192154
<b>Receipt Date:</b>	23-DEC-2010
<b>Filing Date:</b>	20-FEB-2004
<b>Time Stamp:</b>	11:03:05
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1110

RAM confirmation Number	10107
Deposit Account	500573
Authorized User	

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment Copy Claims/Response to Suggested Claims	Response122310.pdf	129065	no	14
			5ec715405c0f4ccca274c01cd534ae52e4b0bdf1ce		

### Warnings:

### Information:

2	Extension of Time	Extensionoftime122310.pdf	21462	no	1
			37e1168029996d4ae02c1d2f5a20b88c4fb1c48d5		

### Warnings:

### Information:

3	Fee Worksheet (PTO-875)	fee-info.pdf	30324	no	2
			6d91c9dc3d847546f14baf9cf52b7bf158c4f852a		

### Warnings:

### Information:

Total Files Size (in bytes):			180851
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.